

Using multiple SLE to explain a certain observable in the 2d Ising model

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SLE-CFT

The Schramm-Loewner evolution with parameter κ (SLE_{κ}) was introduced in 1999 by the late Oded Schramm while considering possible scaling limits of loop-erased random walk.

Since then, it has successfully been used to study a number of lattice models from two-dimensional statistical mechanics including percolation, uniform spanning trees, self-avoiding walk, the Gaussian free field, and the Ising model as well as the more general $O(n)$ model.

In general, there is some understanding of how SLE can be used to formalize parts of two-dimensional conformal field theory, but nevertheless there is still a lot of work to be done.

SLE-CFT (cont)

Conformal field theory (CFT) relies on the concept of a local field and its correlations in order to generate predictions about the model under consideration.

Briefly, in CFT, the central charge \mathbf{c} plays a key role in delimiting the universality classes of a variety of lattice model scaling limits.

We now know that the SLE parameter κ and the central charge \mathbf{c} are related through

$$\mathbf{c} = \frac{(6 - \kappa)(3\kappa - 8)}{2\kappa}.$$

Note. The central charge $\mathbf{c} \in \mathbb{R}$ is the central element of the Virasoro algebra which is a central extension of the complex Witt algebra of complex polynomial vector fields on the circle.

The Ising Model

The Ising model is, perhaps, the simplest interacting many particle system in statistical mechanics. Although it had its origins in magnetism, it is now of importance in the context of phase transitions.

Suppose that $D \subset \mathbb{C}$ is a bounded, simply connected domain with Jordan boundary.

Consider a discrete lattice approximation (e.g., triangular/hexagonal or square).

Assign to each vertex of the lattice a spin — either up (+1) or down (−1).

Let ω denote a configuration of spins; i.e., an element of $\Omega = \{-1, +1\}^N$ where N is the number of vertices.

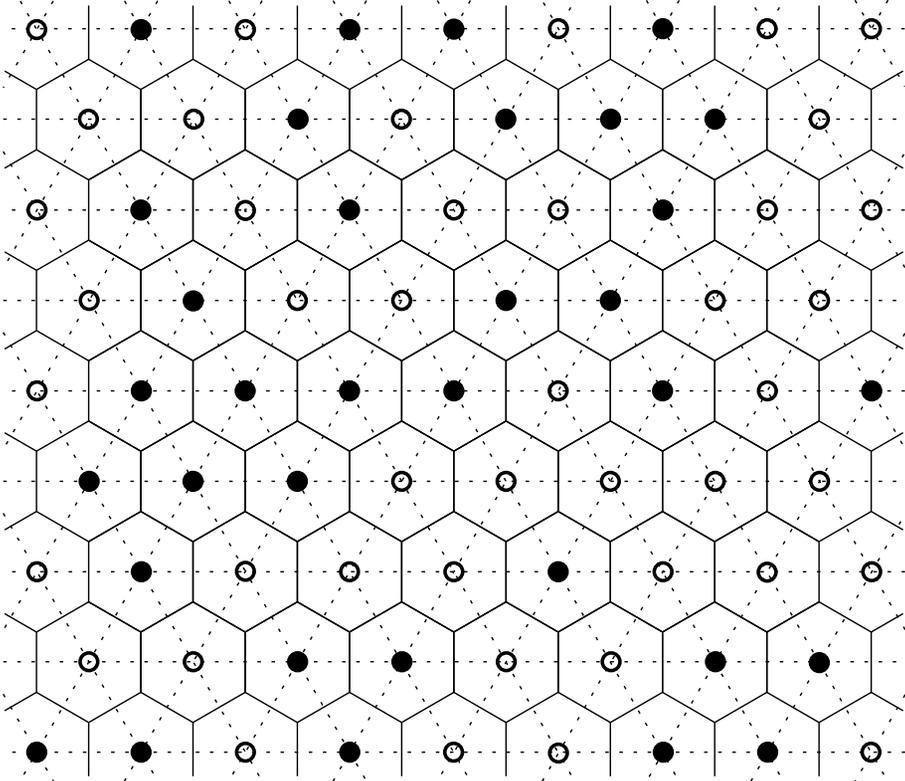
Associate to the configuration the Hamiltonian (or energy)

$$H(\omega) = - \sum_{i \sim j} \sigma_i \sigma_j$$

where the sum is over all nearest neighbours and $\sigma_i \in \{-1, +1\}$.

The Ising Model (cont)

Labeling vertices on the triangular lattice can be identified with labeling faces on the hexagonal lattice.



The Ising Model (cont)

Define a probability measure on configurations

$$P(\{\omega\}) = \frac{\exp\{-\beta H(\omega)\}}{Z}$$

where $\beta > 0$ is a parameter and

$$Z = \sum_{\omega} \exp\{-\beta H(\omega)\}$$

is the partition function (or normalizing constant).

The parameter β is the inverse-temperature $\beta = 1/T$. It is known that there is a critical temperature T_c which separates the ferromagnetic ordered phase (below T_c) from the paramagnetic disordered phase (above T_c).

Furthermore, many physical properties (i.e., observables), such as the thermodynamic free energy, entropy, and magnetization can be determined from the partition function.

The Ising Model (cont)

Traditionally, scaling limits in CFT are described by critical exponents.

For example, the spin-spin correlation

$$\langle \sigma_i, \sigma_j \rangle = \sum_{\omega} \sigma_i \sigma_j P(\{\omega\}) \sim \frac{\exp\{-|i - j|/\xi\}}{|i - j|^\eta}$$

where the correlation length ξ scales like

$$\xi \sim |T - T_c|^{-\nu}.$$

At T_c , the correlation length ξ diverges, the Ising model becomes scale invariant, and we have

$$\langle \sigma_i, \sigma_j \rangle \sim |i - j|^{-\eta}.$$

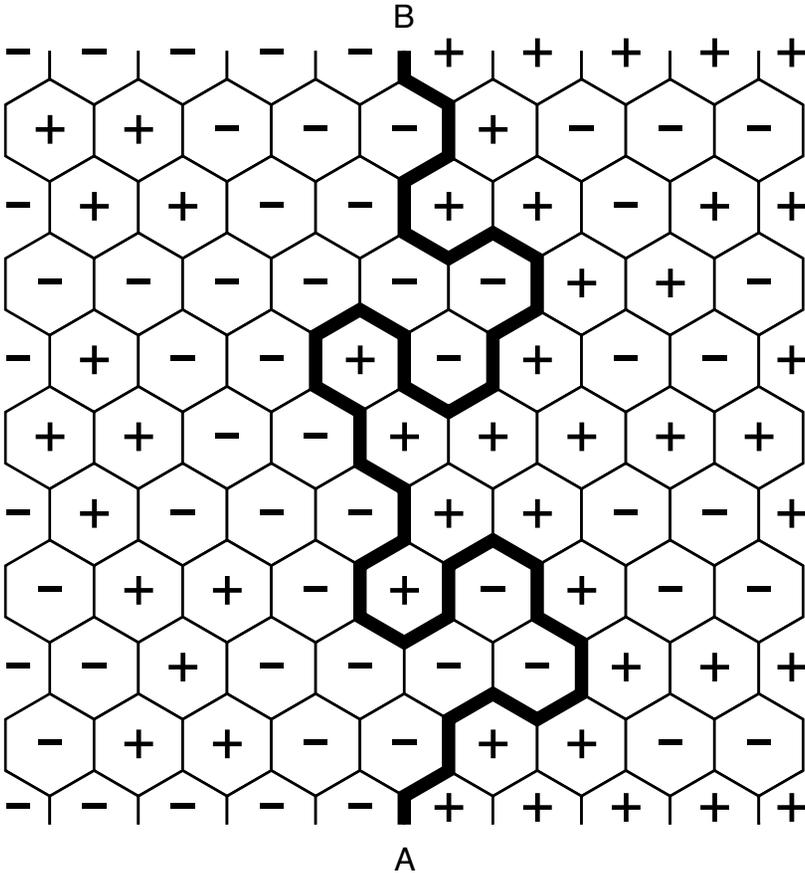
The Ising Model (cont)

The point-of-view of SLE is to study an interface.

Consider fixing two arcs on the boundary of the domain and holding one boundary arc all at spin up and the other all at spin down.

$P(\{\omega\})$ now induces a probability measure on curves (interfaces) connecting the two boundary points where the boundary conditions change.

The Ising Model (cont)



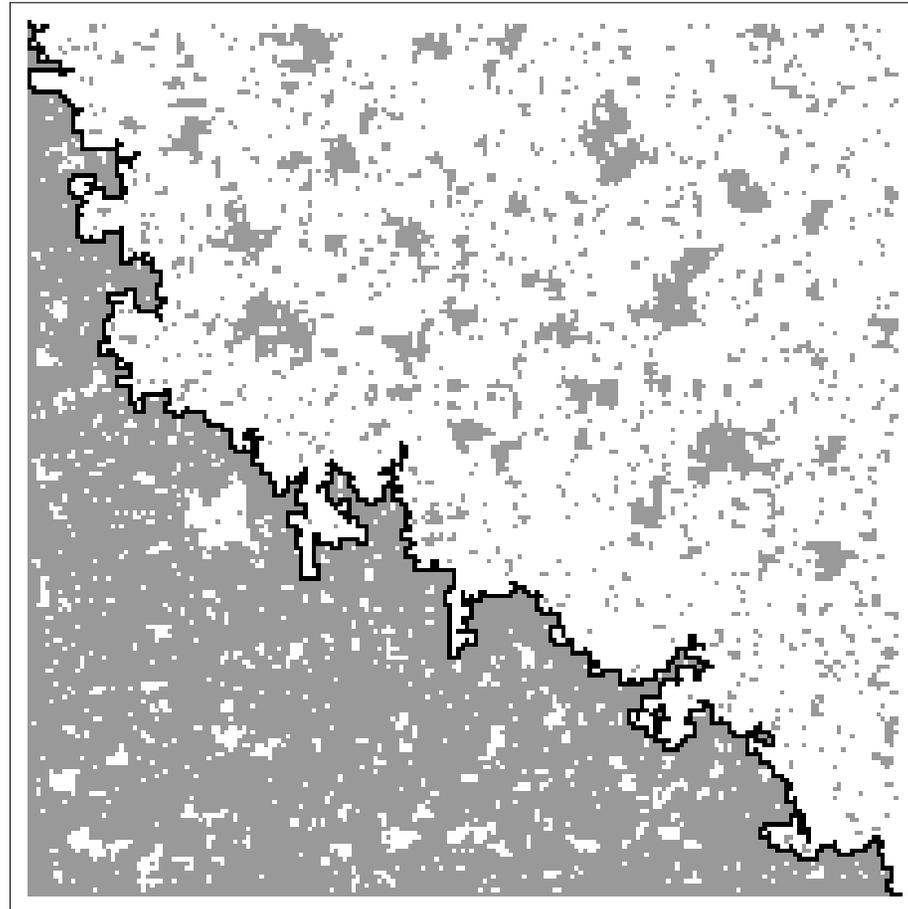
The Ising Model (cont)

S. Smirnov has recently showed that as the lattice spacing shrinks to 0, the interface converges to SLE_3 .

Formally, let (D, z, w) be a simply connected Jordan domain with distinguished boundary points z and w . Let $D_n = \frac{1}{n}\mathbb{Z}^2 \cap D$ denote the $1/n$ -scale square lattice approximation of D , and let z_n, w_n be the corresponding boundary points of D_n , i.e., we need $(D_n, z_n, w_n) \rightarrow (D, z, w)$ in the Carathéodory sense as $n \rightarrow \infty$.

If $P_n = P_n(D_n, z_n, w_n)$ denotes the law of the discrete interface, then P_n converges weakly to $\mu_{D,z,w}$, the law of chordal SLE_3 in D from z to w .

The Ising Model (cont)



Multiple Interfaces in the Ising Model

“Though one can argue whether the scaling limits of interfaces in the Ising model are of physical relevance, their identification opens possibility for computation of correlation functions and other objects of interest in physics.” (Smirnov, 2007)

Consider four distinct points w_1, w_2, z_2, z_1 ordered counterclockwise around ∂D . Alternate the boundary conditions between plus and minus, changing at each point.

Sample the Ising model at criticality on D . There will now be two interfaces, either (I) joining $z_1 \leftrightarrow z_2$ and $w_1 \leftrightarrow z_2$, OR (II) joining $w_1 \leftrightarrow z_1$ and $w_2 \leftrightarrow z_2$.

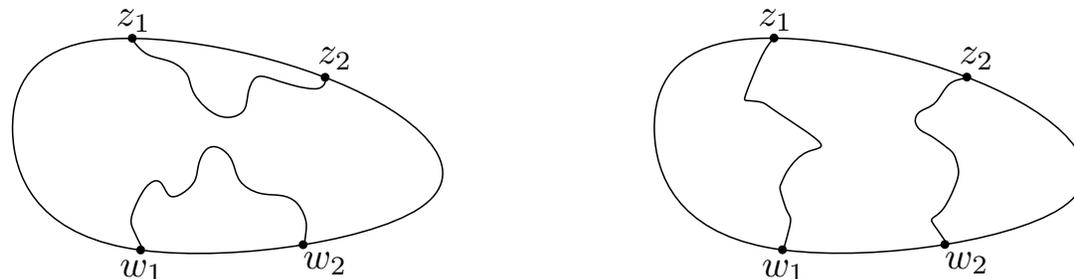


Fig: The two possible configuration-types corresponding to four distinguished boundary points.

Multiple Interfaces in the Ising Model (cont)

Question. What is the probability that the resulting crossings are of Type I?

Answer. In the discrete case, it is

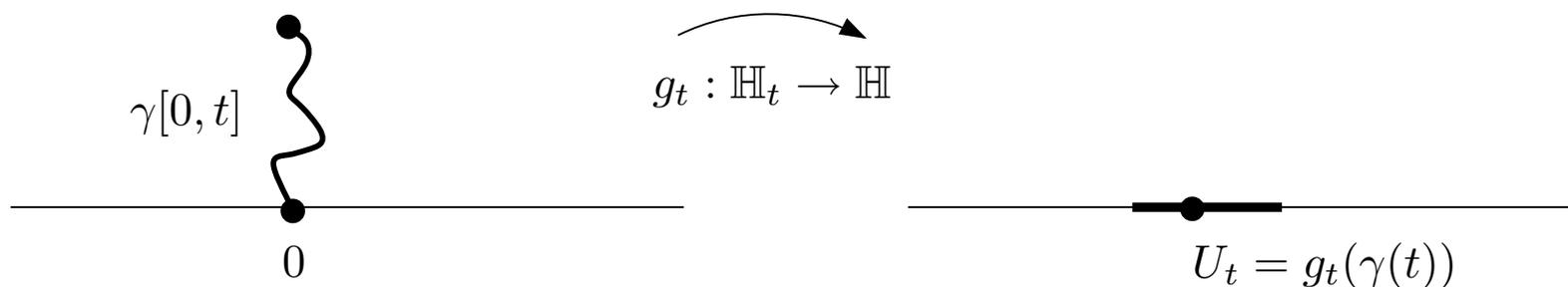
$$\frac{Z_I}{Z_I + Z_{II}}$$

where Z_I denotes the partition function corresponding to all possible configurations having a crossing of Type I.

Using SLE, we can compute the limit of this probability as the lattice spacing shrinks to 0. (There is a technical point to be addressed here.)

This crossing probability is the non-local observable considered by Arguin and Saint-Aubin, and in more generality by Bauer, Bernard, and Kytölä.

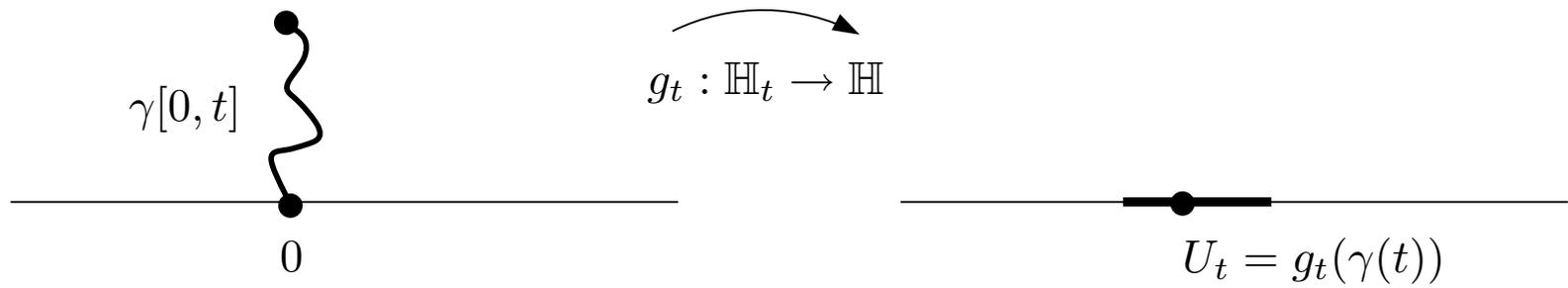
What is SLE? This picture says it all!



- The simple curve $\gamma : [0, \infty) \rightarrow \overline{\mathbb{H}}$ evolves from $\gamma(0) = 0$ to $\gamma(t)$.
- The curve γ never re-visits \mathbb{R} ; that is, $\gamma(0, t) \subset \mathbb{H}$.
- $\mathbb{H}_t := \mathbb{H} \setminus \gamma(0, t]$ denotes the slit plane.
- $g_t : \mathbb{H}_t \rightarrow \mathbb{H}$ is a conformal map;
- $U_t := g_t(\gamma(t))$ is the unique point on \mathbb{R} that is the image of the tip, $\gamma(t)$.
- $t \mapsto U_t$ is continuous.

What is SLE? (cont)

The evolution of the curve $\gamma(t)$, or more precisely, the evolution of the conformal transformations $g_t : \mathbb{H}_t \rightarrow \mathbb{H}$, can be described by the Loewner equation.



We (uniquely) normalize g_t and (re-)parametrize γ in such a way that as $z \rightarrow \infty$,

$$g_t(z) = z + \frac{2t}{z} + O(|z|^{-2}).$$

Theorem. (Loewner 1923)

If $z \in \mathbb{H}$ with $z \notin \gamma[0, \infty]$, then the conformal transformations $\{g_t(z), t \geq 0\}$ satisfy the IVP

$$\frac{\partial}{\partial t} g_t(z) = \frac{2}{g_t(z) - U_t}, \quad g_0(z) = z.$$

Schramm-Loewner Evolution

The natural thing to do is to start with a continuous function $t \mapsto U_t$ and solve the Loewner equation.

Solving the Loewner equation gives g_t which conformally maps \mathbb{H}_t to \mathbb{H} where $\mathbb{H}_t = \{z : g_t(z) \text{ is well-defined}\} = \mathbb{H} \setminus K_t$.

Ideally, we would like $g_t^{-1}(U_t)$ to be a well-defined curve so that we can define $\gamma(t) = g_t^{-1}(U_t)$ and $K_t = \gamma(0, t]$.

While studying loop-erased random walk, Schramm's idea was to let U_t be a Brownian motion! (In retrospect, it is natural.)

SLE with parameter κ is obtained by choosing $U_t = \sqrt{\kappa}B_t$ where B_t is a standard one-dimensional Brownian motion.

Schramm-Loewner Evolution (cont)

Definition. SLE_κ in the upper half plane is the random collection of conformal maps g_t obtained by solving the Loewner equation

$$\partial_t g_t(z) = \frac{2}{g_t(z) - \sqrt{\kappa} B_t}, \quad g_0(z) = z.$$

It is not obvious that g_t^{-1} is well-defined at U_t so that the curve γ can be defined. A deep theorem due to Rohde and Schramm proves this is true.

Think of $\gamma(t) = g_t^{-1}(\sqrt{\kappa} B_t)$.

SLE_κ is the random collection of conformal maps g_t (complex analysts) or the curve $\gamma[0, t]$ being generated in \mathbb{H} (probabilists)!

Although changing the variance parameter κ does not qualitatively change the behaviour of Brownian motion, it drastically alters the behaviour of SLE.

What does SLE look like?

Theorem. (Rohde-Schramm 2001; Lawler-Schramm-Werner 2004)

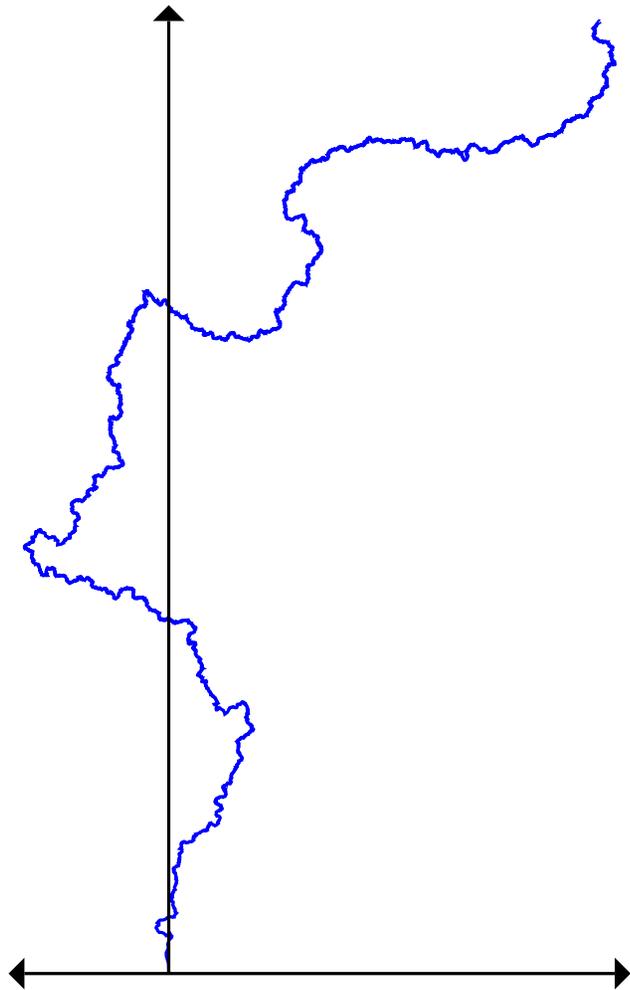
With probability one,

- $0 < \kappa \leq 4$: $\gamma(t)$ is a random, simple curve avoiding \mathbb{R} .
- $4 < \kappa < 8$: $\gamma(t)$ is not a simple curve. It has double points, but does not cross itself! These paths do hit \mathbb{R} .
- $\kappa \geq 8$: $\gamma(t)$ is a space filling curve! It has double points, but does not cross itself. Yet it is space-filling!!

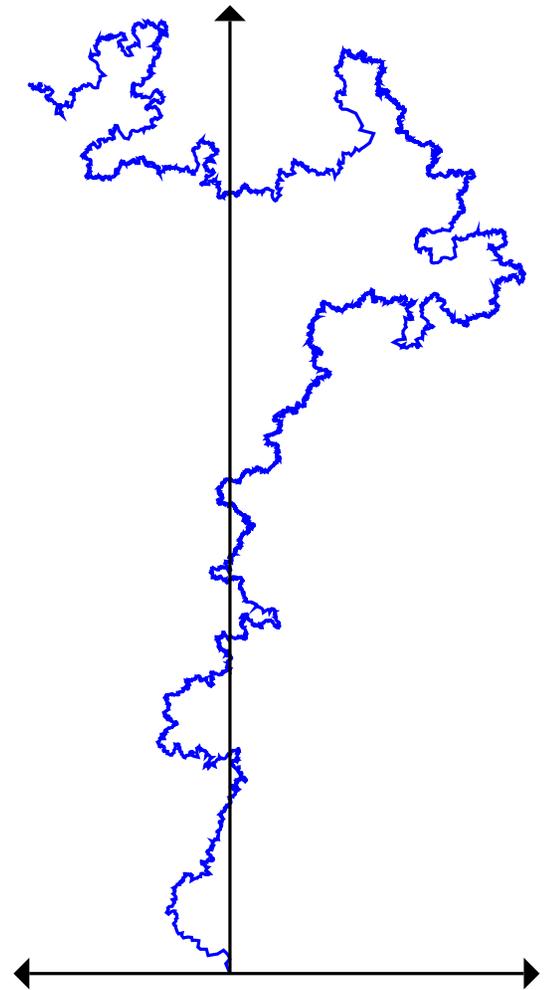
Theorem. (Beffara 2004, 2008)

With probability one, the Hausdorff dimension of the SLE_κ trace is

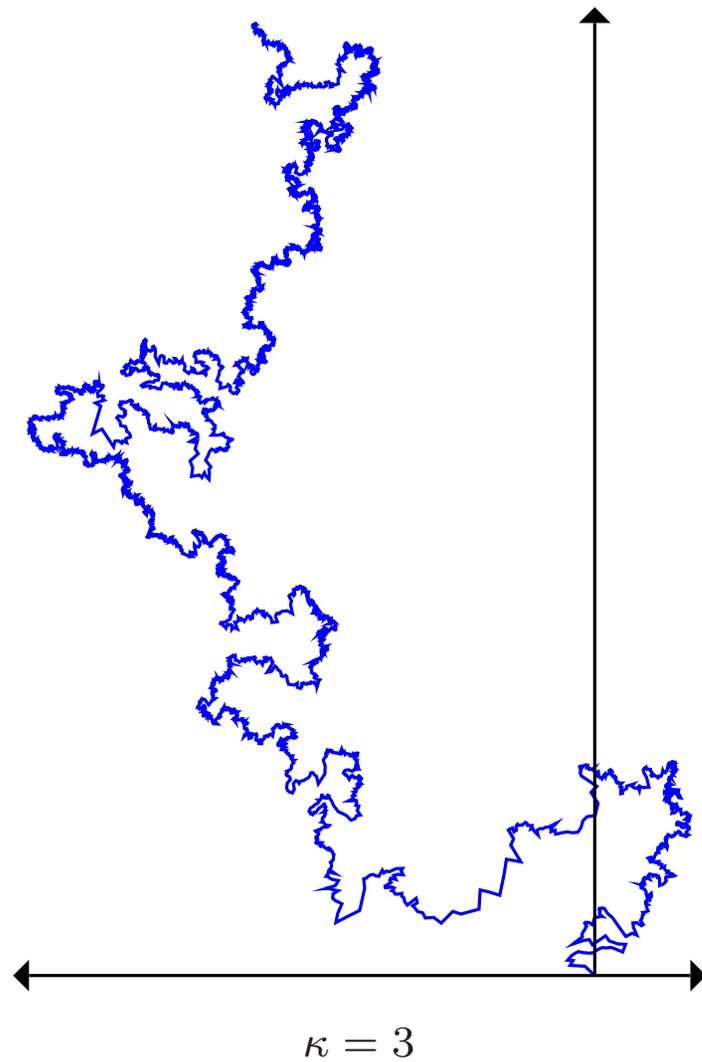
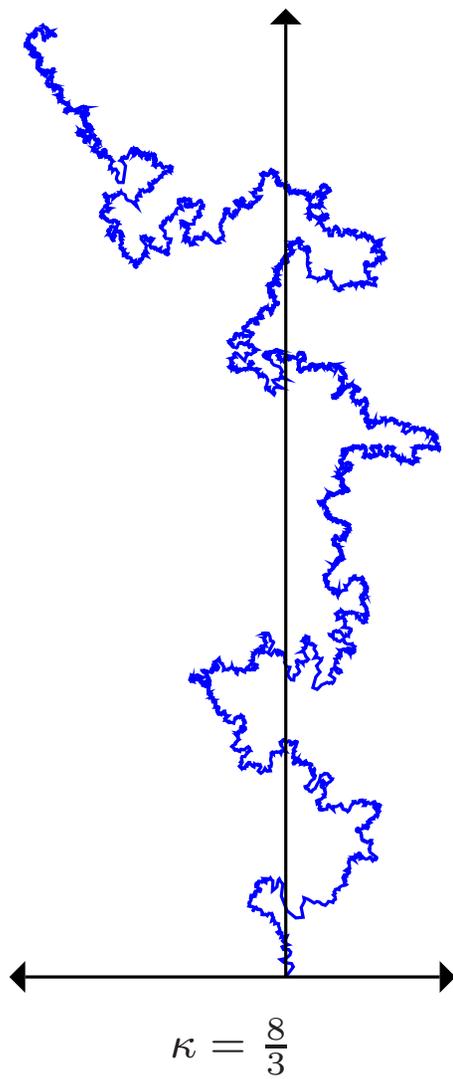
$$\min \left\{ 1 + \frac{\kappa}{8}, 2 \right\}.$$



$\kappa = 1$



$\kappa = 2$



What is SLE? (cont)

Since there exists a curve γ associated with the maps g_t , it is possible to reparametrize it.

It can be shown that if U_t is a standard one-dimensional Brownian motion, then the solution to the initial value problem

$$\frac{\partial}{\partial t} g_t(z) = \frac{2/\kappa}{g_t(z) - U_t} = \frac{a}{g_t(z) - U_t}, \quad g_0(z) = z,$$

is chordal SLE_κ parametrized so that $\text{hcap}(\gamma(0, t]) = 2t/\kappa = at$.

Finally, chordal SLE as we have defined it can also be thought of as a measure on paths in the upper half plane \mathbb{H} connecting the boundary points 0 and ∞ .

SLE is conformally invariant and so we can define chordal SLE_κ in any simply connected domain D connecting distinct boundary points z and w to be the image of chordal SLE_κ in \mathbb{H} from 0 to ∞ under a conformal transformation from \mathbb{H} onto D sending $0 \mapsto z$ and $\infty \mapsto w$.

A Finite Measure on SLE Paths

Let $\mu_D(z, w)$ denote the chordal SLE_κ probability measure on paths in D from z to w .

Define the finite measure

$$Q_D(z, w) = H_D(z, w)\mu_D(z, w)$$

where $H_D(z, w)$ is defined for the upper half plane \mathbb{H} by setting

$$H_{\mathbb{H}}(0, \infty) = 1 \quad \text{and} \quad H_{\mathbb{H}}(x, y) = \frac{1}{|y - x|^{2b}}$$

and for other simply connected domains D by conformal covariance

$$H_D(z, w) = |f'(z)|^b |f'(w)|^b H_{D'}(f(z), f(w))$$

where $f : D \rightarrow D'$ is a conformal transformation (assuming appropriate smoothness) and $b > 0$ is a parameter.

A Finite Measure on SLE Paths (cont)

If we choose $b = \frac{6-\kappa}{2\kappa}$, then for $b \geq \frac{1}{4}$ (i.e., $0 < \kappa \leq 4$), the measure $Q_D(z, w)$ satisfies:

- **Conformal covariance.** If $f : D \rightarrow f(D)$ is a conformal transformation and $f(D)$ is analytic at $f(z)$, $f(w)$, then

$$f \circ Q_D(z, w) = |f'(z)|^b |f'(w)|^b Q_{f(D)}(f(z), f(w))$$

- **Boundary perturbation.** If $D \subset D'$ and $\partial D, \partial D'$ agree near z, w , then

$$Y_{D, D'}(z, w)(\gamma) = \frac{dQ_D(z, w)}{dQ_{D'}(z, w)}(\gamma) = 1_{\{\gamma \subset D\}} e^{\mathbf{c}\Theta/2}$$

where Θ is the measure of the set of Brownian loops in D' that intersect both γ and D , and $\mathbf{c} = \frac{(3\kappa-8)(6-\kappa)}{2\kappa}$.

- In particular, if $f : D' \rightarrow f(D')$ is a conformal transformation, then

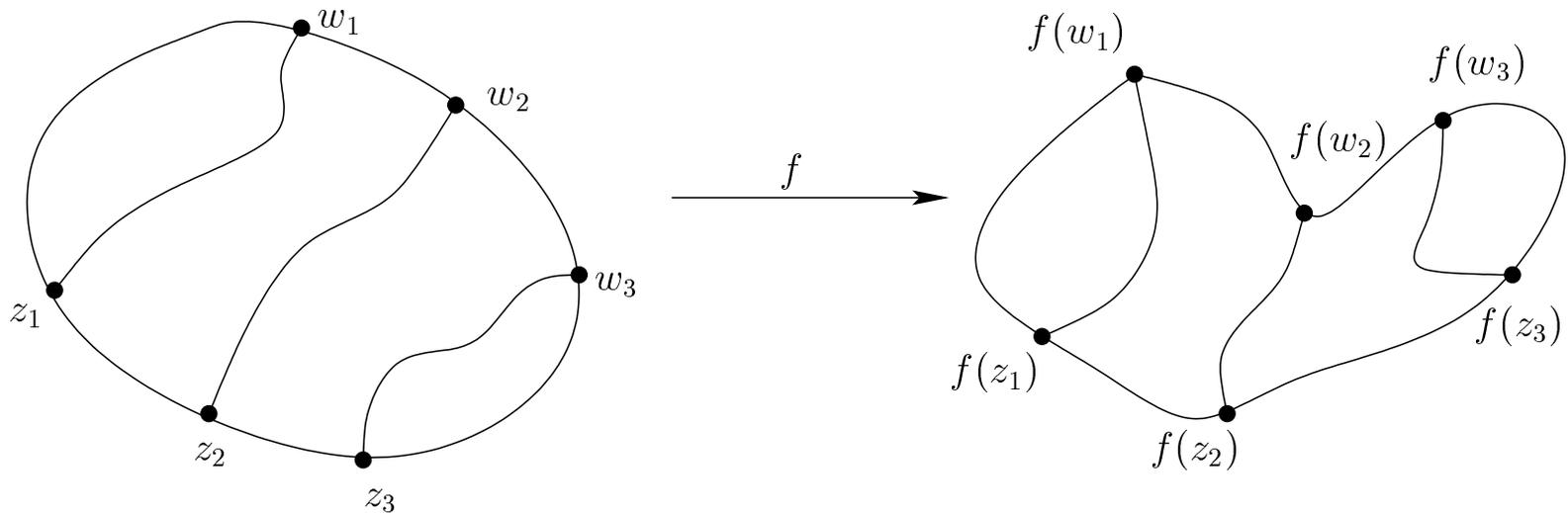
$$\frac{dQ_D(z, w)}{dQ_{D'}(z, w)} = \frac{dQ_{f(D)}(f(z), f(w))}{dQ_{f(D')}(f(z), f(w))}$$

A Finite Measure on Multiple SLE Paths

Let $\mathbf{z} = (z_1, \dots, z_n)$, $\mathbf{w} = (w_1, \dots, w_n)$ denote n -tuples of distinct points in ∂D ordered counterclockwise.

Goal. To construct a finite measure $Q_{D,b,n}(\mathbf{z}, \mathbf{w})$ supported on n -tuples of mutually avoiding simple curves with γ_i connecting z_i to w_i .

This measure should satisfy conformal covariance, boundary perturbation, and a cascade relationship.



A Finite Measure on Multiple SLE Paths (cont)

$Q_{D,b,n}(\mathbf{z}, \mathbf{w})$, the n -path SLE_κ measure in D , is defined to be the measure that is absolutely continuous with respect to the product measure

$$Q_{D,b}(z_1, w_1) \times \cdots \times Q_{D,b}(z_n, w_n)$$

with Radon-Nikodym derivative $Y(\bar{\gamma}) = Y_{D,b,\mathbf{z},\mathbf{w}}(\gamma^1, \dots, \gamma^n)$ given by

$$Y(\bar{\gamma}) = 1_{\{\gamma^k \cap \gamma^l = \emptyset, 1 \leq k < l \leq n\}} \exp \left\{ \frac{\mathbf{c}}{2} \sum_{k=1}^{n-1} \Theta(D; \gamma^k, \gamma^{k+1}) \right\}$$

where $\Theta(D; V_1, V_2)$ is the Brownian loop measure of loops in D intersecting both V_1 and V_2 .

If $\mathbf{c} \leq 1$, it can be shown that $Q_{D,b,n}(\mathbf{z}, \mathbf{w})$ is a finite measure.

Existence of the Configurational Measure

Theorem. (K-Lawler, 2007) *For any $b \geq \frac{1}{4}$, there exists a family of measures $Q_{D,b,n}(\mathbf{z}, \mathbf{w})$ supported on n -tuples of mutually avoiding simple curves satisfying*

- *conformal covariance,*
- *boundary perturbation,*
- *cascade relation,*
- *Markov property.*

Moreover, the simple curve γ^i is a chordal SLE_κ from z_i to w_i in D where

$$\kappa = \frac{6}{2b+1} \longleftrightarrow b = \frac{6-\kappa}{2\kappa}.$$

Note. $b \geq \frac{1}{4} \longleftrightarrow 0 < \kappa \leq 4$

Note. These four properties were not discovered accidentally. We were told by CFT what properties the measure had to satisfy, and what the relationship between all the parameters had to be.

The Partition Function for Two Paths

Define $H_{D,b,n}(\mathbf{z}, \mathbf{w})$ to be the mass of the measure $Q_{D,b,n}(\mathbf{z}, \mathbf{w})$ and note that $H_{D,b,n}$ satisfies the scaling rule

$$H_{D,b,n}(\mathbf{z}, \mathbf{w}) = |f'(\mathbf{z})|^b |f'(\mathbf{w})|^b H_{f(D),b,n}(f(\mathbf{z}), f(\mathbf{w})).$$

Here $|f'(\mathbf{z})| = |f'(z_1)| \cdots |f'(z_n)|$.

Furthermore, if we define

$$\tilde{H}_{D,b,n}(\mathbf{z}, \mathbf{w}) = \frac{H_{D,b,n}(\mathbf{z}, \mathbf{w})}{H_{D,b}(z_1, w_1) \cdots H_{D,b}(z_n, w_n)},$$

then this is a conformal invariant.

The Partition Function for Two Paths (cont)

By conformal invariance, it suffices to work in $D = \mathbb{H}$. Let $0 < x < y < \infty$.

Proposition. If $b \geq 1/4$, then

$$\tilde{H}_{\mathbb{H},b,2}((0, x), (\infty, y)) = \frac{\Gamma(2a) \Gamma(6a - 1)}{\Gamma(4a) \Gamma(4a - 1)} (x/y)^a F(2a, 1 - 2a, 4a; x/y).$$

where $F = {}_2F_1$ denotes the hypergeometric function and $a = \frac{2}{\kappa} = \frac{2b + 1}{3}$.

Note. This result first appeared rigorously in J. Dubédat, and was derived using CFT by M. Bauer, D. Bernard, and K. Kytölä. Our configurational approach provides another rigorous derivation.

Note. As we will see in a moment, the special case of the Ising model actually appeared earlier in L.-P. Arguin and Y. Saint-Aubin.

Note. Although our construction is restricted to simple curves ($0 < \kappa \leq 4$), if we formally plug in $\kappa = 6$, then we recover Cardy's formula for percolation.

The Partition Function for Two Paths (cont)

The proof of this proposition is accomplished by deriving and then solving a differential equation satisfied by $\tilde{H}_{\mathbb{H},b,2}((0, x), (\infty, y))$.

By scaling, $\tilde{H}_{\mathbb{H},b,2}((0, x), (\infty, y)) = \phi(x/y)$ for some function $\phi = \phi_{\mathbb{H},b}$ of one variable.

It can be shown that the ODE satisfied by ϕ is

$$u^2 (1 - u)^2 \phi''(u) + 2u (a - u + (1 - a)u^2) \phi'(u) - a(3a - 1)(1 - u)^2 \phi(u) = 0.$$

In the case that $\kappa = 3$ (so that $a = 2/3$), if $g(z) = \phi(1 - z)$, then the differential equation reduces to

$$3z(z - 1)^2 g''(z) + 2(z - 1)(z + 1)g'(z) - 2zg(z) = 0.$$

The Partition Function for Two Paths (cont)

For the Ising model, note that

$$\kappa = 3, \quad a = \frac{2}{3}, \quad b = \frac{1}{2}, \quad c = \frac{1}{2}, \quad d = \frac{11}{8}.$$

Also, recall that

$$3z(z-1)^2 g''(z) + 2(z-1)(z+1)g'(z) - 2zg(z) = 0.$$

This differential equation is exactly the one that was derived by L.-P. Arguin and Y. Saint-Aubin in 2002 using techniques from conformal field theory in order to obtain theoretical predictions for the behaviour of the crossing probability (i.e., the non-local observable for the 2-D Ising model.)

For Arguin and Saint-Aubin, the function g was, basically, the “four-point correlation function of the local field of conformal weight $1/2$.”

Calculating the Crossing Probability

By conformal invariance, it is enough to work in the upper half plane \mathbb{H} , with boundary points 0 , 1 , ∞ , and x where $0 < x < 1$ is a real number.

The possible interface configurations are therefore of two types, namely (I) a simple curve connecting 0 to ∞ and a simple curve connecting x to 1 , or (II) a simple curve connecting 0 to x and a simple curve connecting 1 to ∞ .

The configurational measure corresponding to Type I is

$$Q_{\mathbb{H},b,2}((0, x), (1, \infty))$$

and the configurational measure corresponding to Type II is

$$Q_{\mathbb{H},b,2}((x, 1), (\infty, 0)).$$

By symmetry, however,

$$Q_{\mathbb{H},b,2}((x, 1), (\infty, 0)) = Q_{\mathbb{H},b,2}((0, 1 - x), (1, \infty)).$$

Calculating the Crossing Probability (cont)

Therefore, the partition function corresponding to Type I is (defined as)

$$Z_{b,I}(x) := H_{\mathbb{H},b,2}((0, x), (\infty, 1))$$

and the partition function corresponding to Type II is

$$Z_{b,II}(x) := H_{\mathbb{H},b,2}((0, 1 - x), (\infty, 1)) = Z_{b,I}(1 - x).$$

Using our earlier proposition for the multiple SLE partition function and properties of the hypergeometric function:

$$\begin{aligned} \mathbf{P}\{\text{config of Type I}\} &= \frac{Z_{b,I}(x)}{Z_{b,I}(x) + Z_{b,II}(x)} \\ &= \frac{F(2a, 6a - 1, 4a; x)}{F(2a, 6a - 1, 4a; x) + F(2a, 6a - 1, 4a; 1 - x)} \end{aligned}$$

and

$$\mathbf{P}\{\text{config of Type II}\} = \frac{F(2a, 6a - 1, 4a; 1 - x)}{F(2a, 6a - 1, 4a; x) + F(2a, 6a - 1, 4a; 1 - x)}.$$

Summary of Results for the 2d Critical Ising Model

In the case of the Ising model, $\kappa = 3$ (so $b = 1/2$, $a = 2/3$), then the probability of a configuration of Type II is:

$$P_1(x) = \frac{F(\frac{4}{3}, 3, \frac{8}{3}; 1-x)}{F(\frac{4}{3}, 3, \frac{8}{3}; x) + F(\frac{4}{3}, 3, \frac{8}{3}; 1-x)}.$$

Arguin and Saint-Aubin (2002):

$$P_2(x) = \frac{1}{2} - \frac{9}{20} \frac{\Gamma(\frac{1}{3})}{\Gamma(\frac{2}{3})^2} \left[\frac{x^{5/3}(1-x)^{5/3}}{1-x+x^2} \right] [F(\frac{4}{3}, 3, \frac{8}{3}; x) - F(\frac{4}{3}, 3, \frac{8}{3}; 1-x)]$$

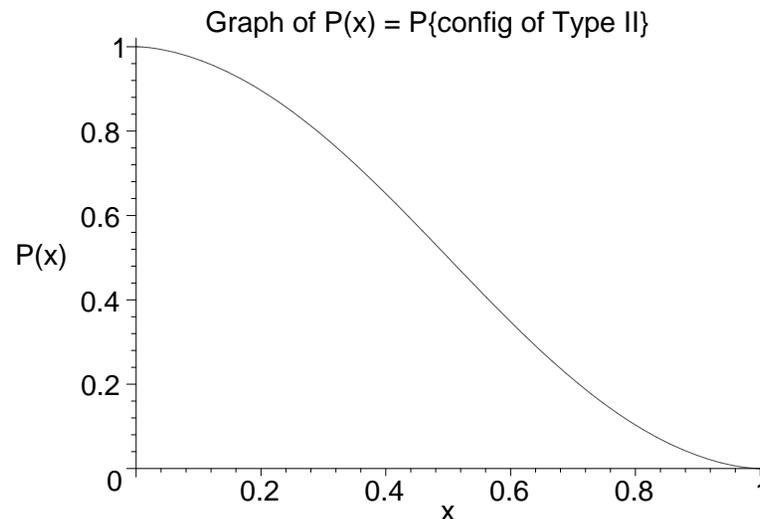
Bauer, Bernard, and Kytölä (2005):

$$P_3(x) = \left(\int_0^1 \frac{y^{2/3}(1-y)^{2/3}}{(1-y+y^2)^2} dy \right)^{-1} \int_x^1 \frac{y^{2/3}(1-y)^{2/3}}{(1-y+y^2)^2} dy.$$

Summary of Results for the 2d Critical Ising Model (cont)

It is not at all obvious that these three expressions are identical.

However, since all three represent the same physical observable (and since each was obtained by solving the same differential equation), it must be the case that $P_1(x) = P_2(x) = P_3(x)$ for $0 \leq x \leq 1$.



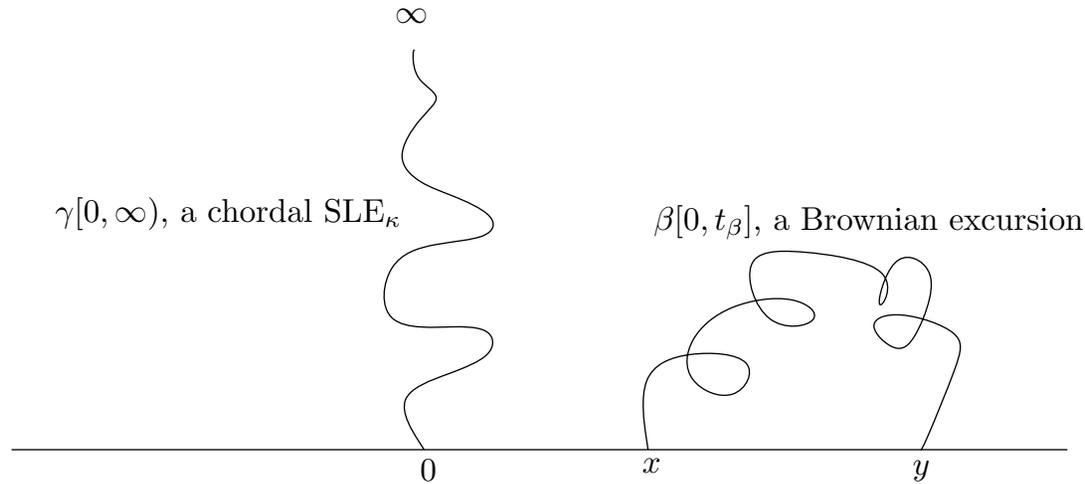
A Technical Matter to Address

The work of Arguin and Saint-Aubin considered the Ising model at criticality. In order to study the non-local observable, they considered a “continuous approximation” to the discrete system. Then using the methods of CFT they deduced a differential equation satisfied by the “four-point correlation function of the local field of conformal weight $1/2$.”

Strictly speaking, our construction proves a result for multiple SLE_3 .

A theorem of Izyurov (announced a couple of days ago in Helsinki) rigorously proves that multiple interfaces in the Ising model converge to multiple SLE_3 .

An Extension to an Intersection Probability



Theorem. (K. 2009) *Suppose that $0 < x < y < \infty$ are real numbers and let $\beta : [0, t_\beta] \rightarrow \overline{\mathbb{H}}$ be a Brownian excursion from x to y in \mathbb{H} . If $\gamma : [0, \infty) \rightarrow \overline{\mathbb{H}}$ is a chordal SLE_κ , $0 < \kappa \leq 4$, from 0 to ∞ in \mathbb{H} , then*

$$\mathbf{P}\{\gamma[0, \infty) \cap \beta[0, t_\beta] = \emptyset\} = \frac{\Gamma(2a)\Gamma(4a+1)}{\Gamma(2a+2)\Gamma(4a-1)} (x/y) F(2, 1-2a, 2a+2; x/y)$$

where $F = {}_2F_1$ is the hypergeometric function and $a = 2/\kappa$.

To Do

SLE describes the scaling limit of a single interface for several models in the $4 < \kappa < 8$ regime such as percolation ($\kappa = 6$) or the FK random cluster model ($\kappa = 16/3$). What about multiple interfaces? Rigorously constructing a measure on multiple non-crossing SLE paths for $4 < \kappa < 8$ is still an open problem.

Other observables? Schramm calculated the probability that a point is to the left of the SLE trace. Extending this to, say, two points to the left of the SLE trace, or two points between the multiple interfaces is still an open problem. Cardy and Simmons (2009) use CFT to give a formula for $\text{SLE}_{8/3}$ (i.e., self-avoiding walk).